

## Exclusive Web-Information on monetary benefit bandwidths

The Appendix No.6 to the Asset Management Agreement contains all information on monetary and non-monetary benefits that The Wealth Office may receive from independent third parties' providers. It also contains an overview of the monetary benefits received in any form of retrocessions from third party providers and custodian banks.

This online version with focus on the monetary benefits potentially receivable by The Wealth Office provides a detailed overview as stipulated in Art. 26 FIDLEG, Art. 29 FIDLEV. To this end, we have listed the benefits by product and service, as well as in relation to the various investment strategies.

### 1. Investment funds

Distribution fees, portfolio maintenance commissions, rebates and similar fees, calculated as an annual percentage of the investment volume in an asset class of an investment fund at a given point in time. Monetary benefits are paid out of the management fee (which is disclosed in the relevant fund documentation). They are typically billed and paid on a monthly, quarterly or annual basis.

	Minimum	Maximum
• Money market funds p.a.	0%	1.00%
• Bond funds p.a.	0%	2.05%
• Equity funds p.a.	0%	2.05%
• Investment strategy funds p.a.	0%	2.00%
• Hedge funds p.a.	0%	2.00%
• Real estate funds p.a.	0%	1.20%

### 2. Structured products

• Upfront fee	0%	3.00%
• Annual fee p.a.	0%	1.00%

### 3. Retrocessions

• Retrocession on investments*	0%	50%
• Retrocessions on services**	0%	50%

\*Brokerage fees, fees, price margins (spreads)

\*\*Custody fees charged by the custodian bank

	Minimum	Maximum
<b>Overall portfolio level compensation (Points 1 to 3) based on investment strategy</b>		
Fixed Income	0%	0.75%
Income	0%	1.00%
Balanced	0%	1.50%
Growth	0%	2.00%
Equity	0%	2.00%

For a representative sample-portfolio of a value of CHF 250'000.00 the nominal amount would be:

Fixed Income	CHF 0.00	CHF 1'875.00
Income	CHF 0.00	CHF 2'500.00
Balanced	CHF 0.00	CHF 3'750.00
Growth	CHF 0.00	CHF 5'000.00
Equity	CHF 0.00	CHF 5'000.00